

Building Safety Act 2022 Industry Update

Overview of recent developments

London Building Control

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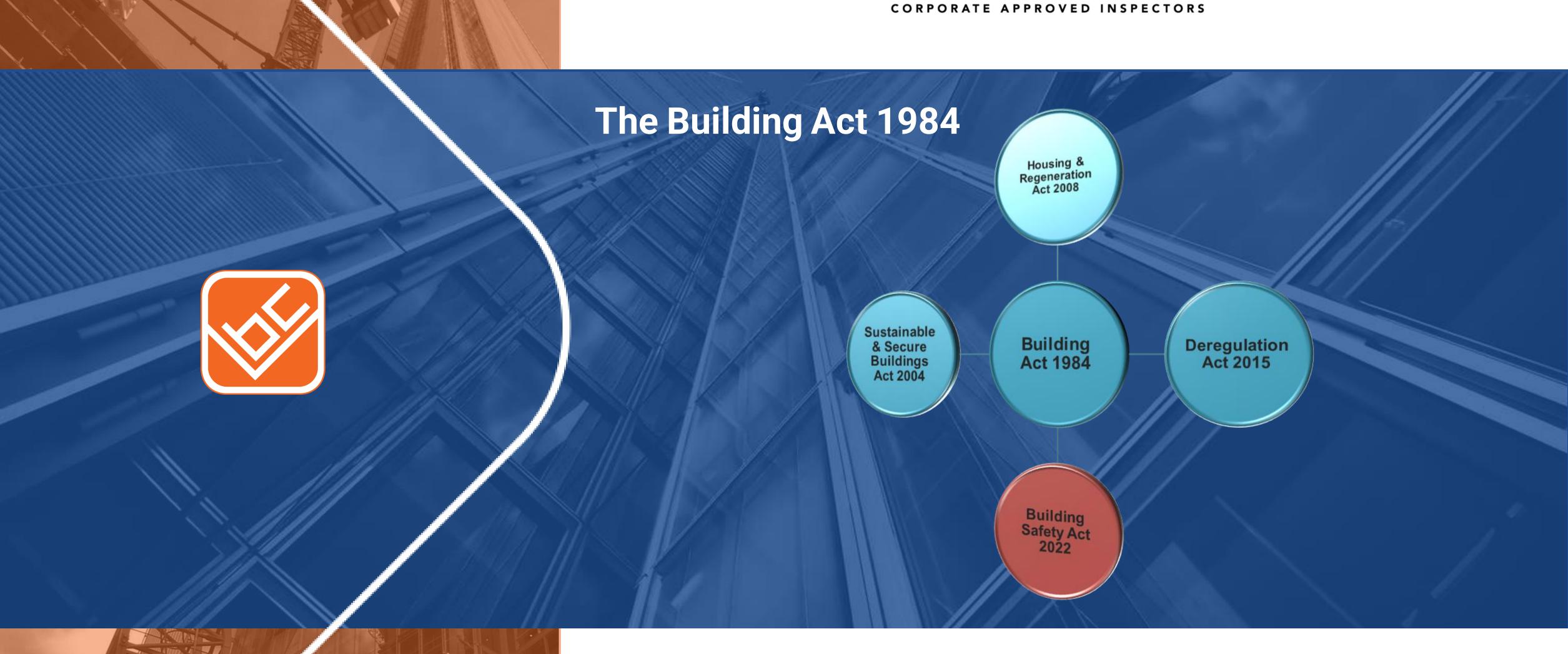












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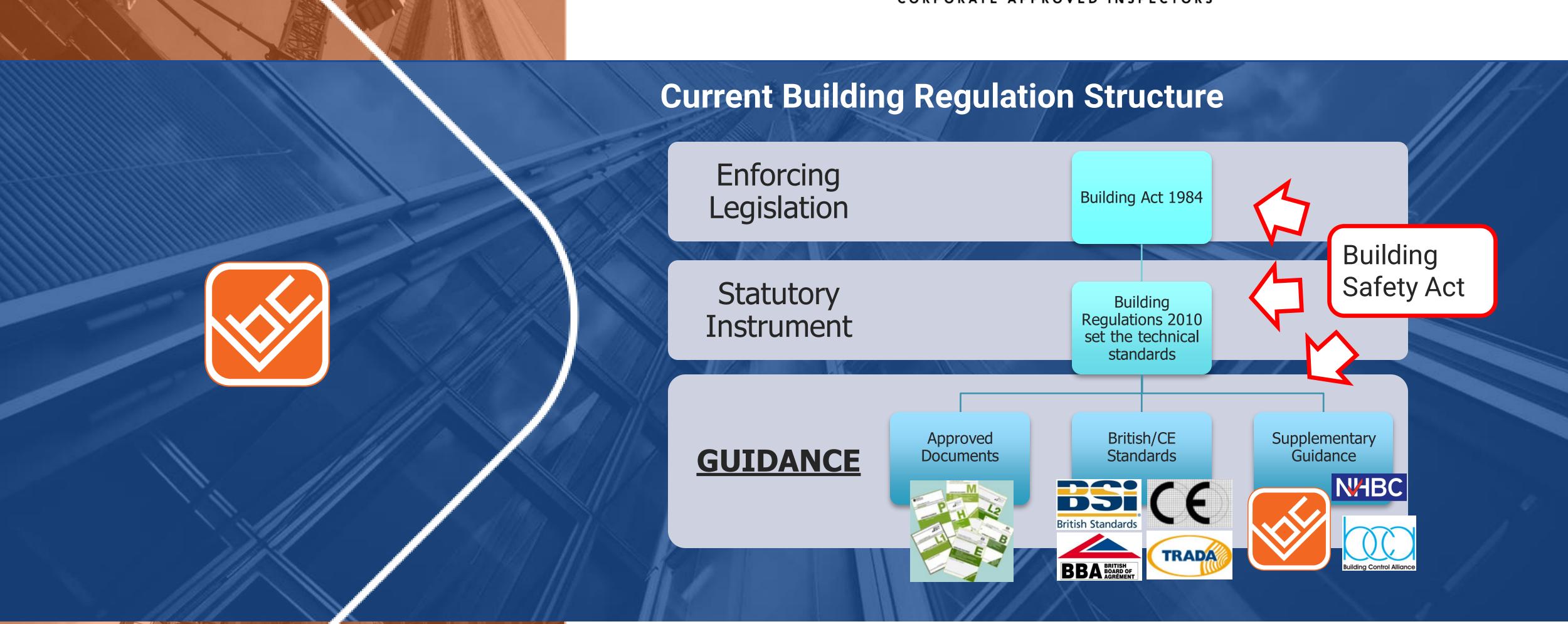


































The Great Fire of London 1666 Rebuilding Act 1667



Dwelling death Statistics recently (approximate):

- Fire related 200
- Falling down stairs 1000
- Radon related 1100+
- Overheating 2000
- Global warming??
- Poor ventilation??



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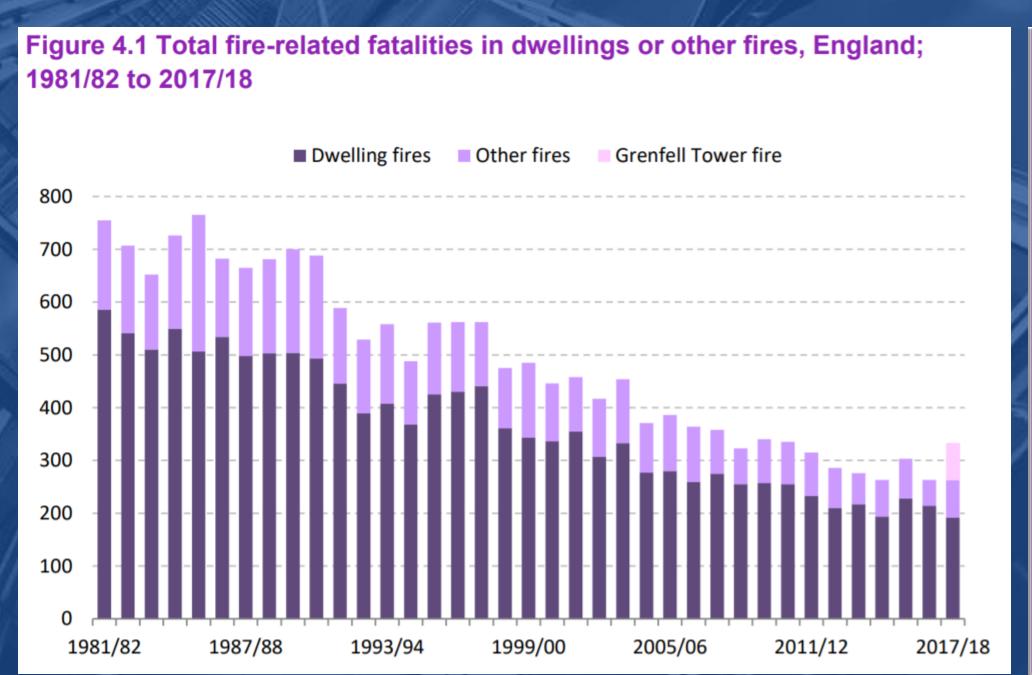


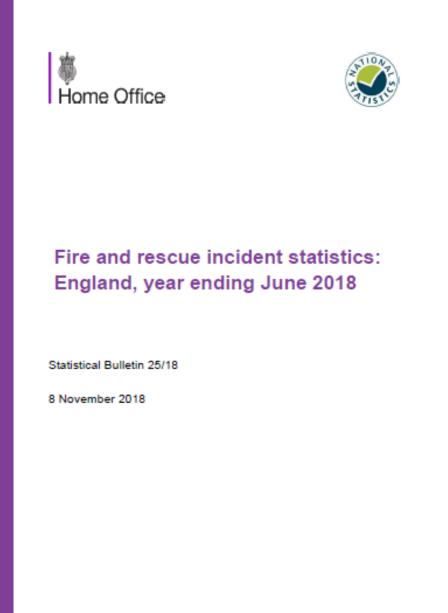
































Why is Fire Safety important?

Fixing our shoddy new-build homes could bankrupt us

Three luxury flats in a new block were so badly built that bricks are already falling out. The owners' legal battle has cost £250,000 — so far























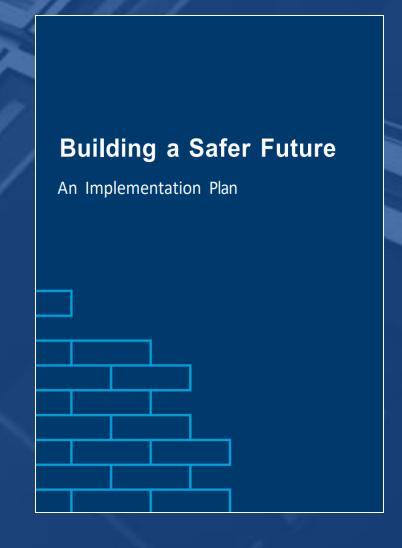


Building a Safer Future

Independent Review of Building Regulations and Fire Safety: **Final Report**

Hackitt Report & Building Regs Review

- Implementation Plan published December 2018
- New regulatory framework
- Single streamlined regulatory route
- Duty holder roles and responsibilities
- Gateway points for regulatory oversight
- Rigorous enforcement powers
- Industry competence
- 53 recommendations made



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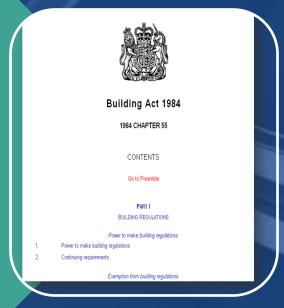


An Act is known as an 'Act of Parliament'

3 sets of readings as a Bill in each House

Royal Assent by the Queen

Legal Scrutiny



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Building Safety Act

Parts & Contents – Overview

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- Part 1 Overview of the 'Act'
- Part 2 The new Building Safety Regulator, definitions, scope, functions
- Part 3 Amendments to the Building Act 84 Duty holder regime, registration of building control
- Part 4 Higher-risk buildings, Occupied building obligations and the roles of Accountable Person and **Building Safety Manager**
- Part 5 Other provision about safety, standards, etc
- Part 6 General
- Schedules 1 to 11

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Building Safety Act

The Building Safety Regulator

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Building Safety Regulator Overview

- Health & Safety Executive
- Regulatory decisions under the new regime
- Implementing the new stringent regulatory regime
- Advising on Building Standards
- Overseeing Building control Bodies
- Competence in the built environment
- Assistance from 'Designated Bodies'
- Control of materials
- Developing guidance





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Building Safety Regulator Developments



HSE's people HSE board of Non-Executives Management Board Speeches Diversity and equality Tackling discrimination **Equality Duty**

Peter Baker

Chief Inspector of Buildings - Health & Safety Executive



Peter has over 30 years' experience with HSE as an Inspector and in a number of senior operational roles dealing with a wide range of industry sectors, including construction, major hazard industries and local authorities. Peter led HSE's reform and delivery of the regulatory regime for chemical and downstream oil industries, and was appointed HSE's Chief Inspector of Construction in 2015.

From 2017, Peter led HSE's involvement in the Government's Building Safety Programme following the Grenfell Tower tragedy. As Chief Inspector of Buildings he is now at the forefront of establishing the new Building Safety Regulator in HSE and leading the delivery of the stronger, more robust building safety regime for higher risk buildings working with government, industry, partner regulators and residents.

As Director of HSE's Building Safety and Construction Division, Peter also has Executive Board responsibility for HSE's construction operations across Great Britain.

The building safety regulator

- In this Part "the regulator" means the Health and Safety Executive.
- Schedule 1 contains amendments of provisions of the Health and Safety at Work etc Act 1974 that relate to the regulator.

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- Facilitating improvement in competence of industry and building inspectors
 - The regulator must provide such assistance and encouragement as it considers appropriate to
 - persons in the built environment industry, and
 - registered building inspectors,

with a view to facilitating their improving the competence of persons in that industry or members of that profession (as the case may be).

For the meaning of "the built environment industry" and "registered building inspector" see section 30.

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- The regulator must provide such assistance and encouragement to relevant persons as it considers appropriate with a view to facilitating their securing the safety of people in or about higher-risk buildings in relation to building safety risks as regards those buildings.
- The assistance and encouragement that must be provided under subsection (1) includes, in particular, assistance and encouragement with a view to facilitating securing the safety of disabled people in or about higher-risk buildings in relation to building safety risks as regards those buildings.
- For this purpose "relevant persons" means
 - residents of higher-risk buildings within the meaning of Part 4,
 - owners of residential units in such buildings,
 - persons who are accountable persons within the meaning of Part 4, and
 - persons upon whom duties are imposed by virtue of paragraph 5B of Schedule 1 to the Building Act 1984 (dutyholders).

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Parts 3 & 4: Meaning of "higher-risk building"

Preliminary defined as:

- Buildings with two or more dwellings
- Two or more Rooms for Residential Purposes
- Student accommodation
- Meets the height condition (18m or 7 storeys)

BSB Excluded:

- Secure residential institutions
- Temporary accommodation

Amendments

BSR will regulate high-rise buildings:

- 1. have at least 2 residential units
- 2. are hospitals or care homes (during design and construction)

Meet height condition





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Building Safety Regulator Functions

Other duties and powers:

- System of building information
- **Building Advisory Committee**
- Residents Committee (disabled residents)
- Powers to direct local authorities to do anything required to assist the regulator

Enforcement Powers:

- Compliance and Stop Notices
- Investigatory powers and possible imprisonment

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Building Safety Act

Dutyholder regime

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Dutyholders – Accountability

Robert Jenrick MP (Secretary of State for Housing, Communities and Local Government)

"the draft Bill will introduce a new era of accountability, making it clear where the responsibility for managing safety risks lies throughout the design, construction and occupation of buildings in scope. There will be tougher sanctions for those that fail to meet their obligations."



Key dutyholders during construction:

- Client, principal designer, principal contractor
- Other designers and contractors

Contravention of building regulations is a criminal offence

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Legal Action & Liability

(13) Amendments to the Defective Premises Act 1972 and commencing section 38 of the Building Act 1984

The Bill will amend the Limitation Act 1980 to extend the period in which a claimant can bring a claim under section 1 of the Defective Premises Act 1972. Currently, under the Defective Premises Act 1972 claimants can seek compensation in respect of the work to construct a dwelling, if the dwelling is unfit for habitation, within 6 years of the work taking place. The Government thinks that it is right to extend that period from 6 to 15 years, to afford leaseholders and other claimants more time to bring proceedings. The measures will apply retrospectively, and the amendment will make provision to ensure that all parties have access to a fair trial.

Currently the Defective Premises Act 1972 only applies to the 'provision' of a dwelling. We will also be extending the cause of action under the Defective Premises Act 1972 to include refurbishment works. This change will also be subject to the extended 15-year limitation period. This change will only apply prospectively.

Additionally, we will be commencing section 38 of the Building Act 1984. This provision will also be subject to the extended 15-year limitation period and will apply prospectively only.

Commencement: The expansion of the Defective Premises Act 1972 to include refurbishments and extension to the limitation period for the existing duty will come into force two months after the Bill receives Royal Assent; we intend to commence section 38 of the Building Act 1984 at the same time.

PROSPECTIVE

Civil liability.

- Subject to this section—
 - (a) breach of a duty imposed by building regulations, so far as it causes damage, is actionable, except in so far as the regulations provide otherwise, and
 - (b) as regards such a duty, building regulations may provide for a prescribed defence to be available in an action for breach of that duty brought by virtue of this subsection.
- Subsection (1) above, and any defence provided for in regulations made by virtue of it, do not apply in the case of a breach of such a duty in connection with a building erected before the date on which that subsection comes into force unless the regulations imposing the duty apply to or in connection with the building by virtue of section 2(2) [F51 or 2A] above or paragraph 8 of Schedule 1 to this Act.
- (3) This section does not affect the extent (if any) to which breach of-
 - (a) a duty imposed by or arising in connection with this Part of this Act or any other enactment relating to building regulations, or
 - (b) a duty imposed by building regulations in a case to which subsection (1) above does not apply,

is actionable, or prejudice a right of action that exists apart from the enactments relating to building regulations.

(4) In this section, "damage" includes the death of, or injury to, any person (including any disease and any impairment of a person's physical or mental condition).

Textual Amendments

F51 Words in s. 38(2) inserted (16.11.2004) by Sustainable and Secure Buildings Act 2004 (c. 22), ss. 4(3), 11(4)

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Amendments Building Act 1984 Section 38

Defective Premises Act 1972 Work to dwellings

Recovery

Construction products Manufacturing or misselling

Building Liability Orders

Piercing the corporate veil

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New better defined duty holders roles

Designers, developers and contractors to meet new duty holder obligations focusing on competency, increased supervision and co-ordination in relation to designs.

Applies regardless of building height and type.

Duty holders framework - roles responsibilities, mechanisms for appointment/proper procurement and demonstration of competence BSI PAS 8670 and 8671

Civil and criminal liability for breaches

Section 38 of the Building Act Enacted will allow civil claims for damage or personal injury for breaches of duty under the building regulations.

Sections 35 of the Building Act Expanded on breaches of the the regulations with tougher penalties (fines or imprisonment)

Applies regardless of building height and type.



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Dutyholders - Claims and Redress

Extension of S1 of the Defective Premises Act 1972 (s135 of BSA)

The DPA allows the person commissioning or who has legal or equitable interest of a building to seek claims against a person taking on work in connection with 'a residential dwelling' if work is carried out in such as way that that causes the dwelling to be unfit for habitation upon completion.

Applies to any residential building of any height and any defect not just fire safety. DPA and limitations act application changes to increase retrospectively for 30 years

Construction Product Regulations (BSA s146-155)

The Act has a new clause that allows for claims against product manufacturers in relation to failures that cause a building to be unfit for habitation. May allow for cost contribution claims made against product manufacturers.

Building Liability Orders (\$130 - 132)

Entities with 'relevant liability' may be targeted - 'Piercing the corporate veil'.

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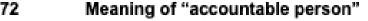






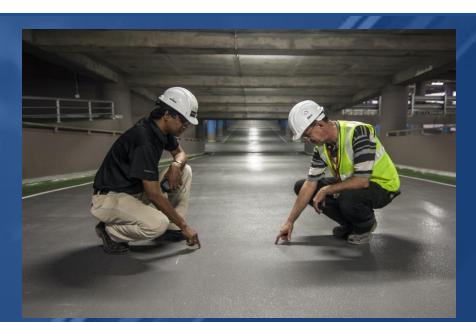






- In this Part an "accountable person" for a higher-risk building is—
 - (a) a person who holds a legal estate in possession in any part of the common parts (subject to subsection (2)), or
 - (b) a person who does not hold a legal estate in any part of the building but who is under a relevant repairing obligation in relation to any part of the common parts.

This subsection is subject to subsection (5) (special rule for commonhold land).







- (1) In this Part the "principal accountable person" for a higher-risk building is-
 - in relation to a building with one accountable person, that person;
 - (b) in relation to a building with more than one accountable person, the accountable person who—
 - holds a legal estate in possession in the relevant parts of the structure and exterior of the building, or
 - (ii) is within section 72(1)(b) because of a relevant repairing obligation (within the meaning of that section) in relation to the relevant parts of the structure and exterior of the building.
- (2) For the purposes of this section—
 - (a) the reference to "the relevant parts of the structure and exterior" of a building is to its structure and exterior except so far as included in a demise of a single dwelling or of premises to be occupied for the purposes of a business;
 - the reference to "possession" does not include the receipt of rents and profits or the right to receive the same.
- (3) Subsection (1)(b) is subject to section 75(2) (powers of tribunal where more than one accountable person is within subsection (1)(b)).



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In Schedule 1 to the Building Act 1984 (building regulations) after paragraph 5 insert— "Appointed persons

- Building regulations may require prescribed appointments to be made in relation to any work.
 - Building regulations may make provision about appointments, including provision about
 - the persons who are to make appointments;
 - the persons who may be appointed;
 - the time by which appointments must be made;
 - the period for which persons are to be appointed;
 - the termination of appointments;
 - the replacement of appointed persons.
 - The regulations may provide that in prescribed circumstances an appointment is treated as made.
 - In this Schedule "appointed person", in relation to any work, means a person appointed in relation to that work under building regulations made by virtue of this paragraph.

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General duties

- (1) Building regulations may, for the purpose of facilitating compliance with any requirement of building regulations in relation to any work or other matter to which building regulations are applicable —
 - (a) impose duties on relevant persons in connection with the planning or management of the work or other matter;
 - (b) require relevant persons to co-operate with other relevant persons.
 - The following are "relevant persons" for this purpose
 - any appointed person;
 - (b) any prescribed person."

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"Competence requirements

- Building regulations may, in relation to any work or other matter to which building regulations are applicable, impose competence requirements on
 - any appointed person, or
 - any prescribed person.
 - (2) A "competence requirement" is a requirement relating to
 - the skills, knowledge, experience and behaviours of an individual;
 - the capability of a person other than an individual to perform its functions under building regulations.
 - (3) The regulations may require an appointed person who is not an individual to give an individual acting under its control who has the appropriate skills, knowledge, experience and behaviours the task of managing its functions as an appointed person."

Client's duties include ensuring work is done in compliance with regulations and competency requirements

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HS2





Dutyholders – Competence

Building Safety Act 2022 Stipulations

Competence frameworks (BSI, Institutions)

Industry led response 'setting the bar'

Built environment – Core criteria for building safety in competence frameworks – Code of practice

April 2021 Version 3



BSI Flex 8670: v3.0 2021-04

Ministry of Housing, Communities &

bsi

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Building Safety Act

The Gateway Process

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Building Safety Act - Gateway Overview



Construction Phase

Occupation

Gateway

Gateway

Gateway 3

Safety Case

Design

Regulation 38

Golden Thread

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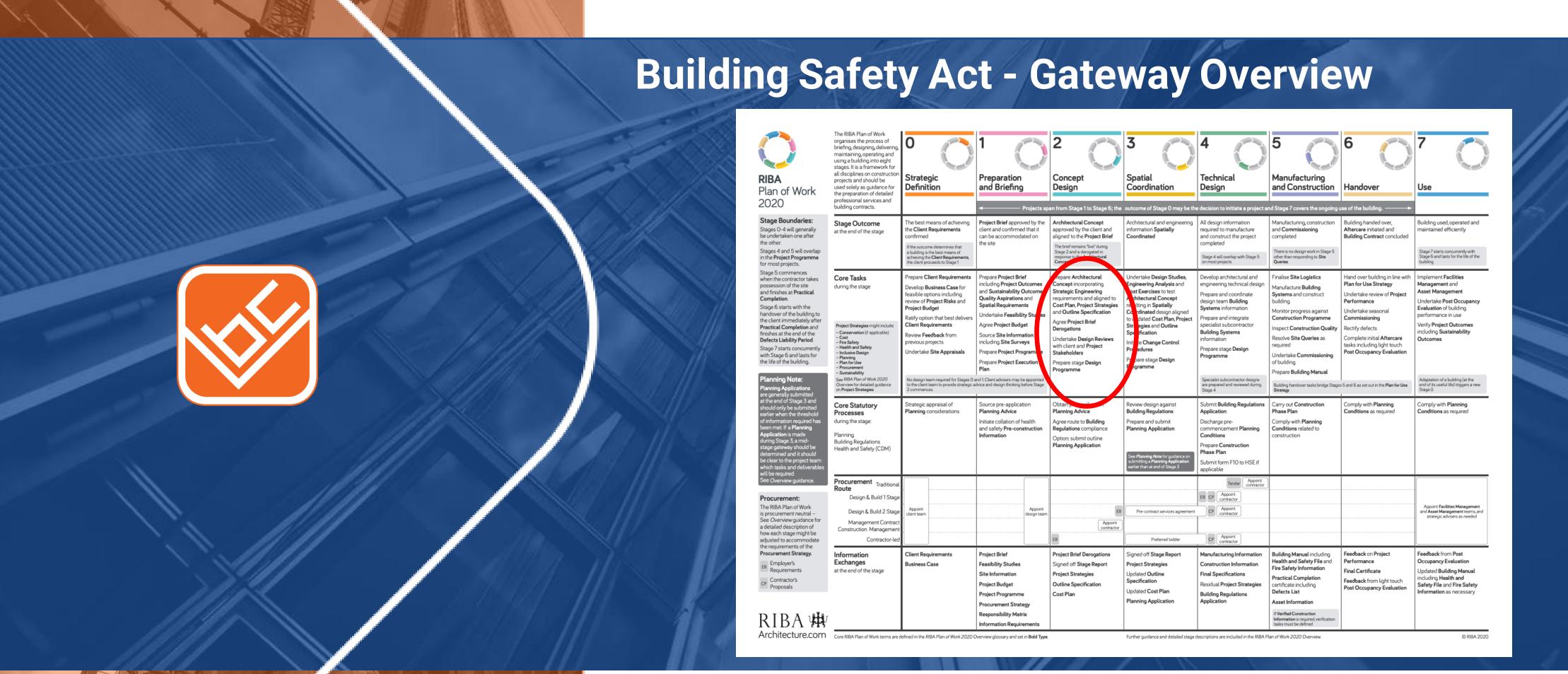












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Building Safety Act – Planning Gateway 1

Fire safety measures included at an early Planning Stage

- Town and Country Planning changes (pre BSA)
- Relevant buildings
- Establishes the BSR as a mandatory consultee
- Fire Safety Statements
- Exemptions

Issues

- Planning Problems
- The competency and skills challenge
- Wider regulatory framework of BSA?

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Gateway 1 – Fire Statement

Two options - dynamic fire statement form or static fire statement form

Relevant building (height condition)

- Site address, description, etc
- Competence of person completing
- **Building Schedule**
- Plans, information, proposals, etc
- Specific technical complexities
- Signatories

Fire statement placed on planning register

The purpose of this document is to provide guidance on the completion of a fire statement where there is a requirement to submit a fire statement with an application for planning

Users of this guidance should be aware of and familiarise themselves with Article 9A of The Town and Country Planning (Development Management Procedure) (England) Order 2015

with the building regulations or the Fire Safety Order¹, and local planning authorities will not be responsible for any building regulation matters or the enforcement of building control scale, type and complexity of the proposal

site including the spaces between buildings, fire statements are required to include

There are two options for completing the fire safety form published by the Secretary of State:

- · dynamic fire statement form (which can be completed electronically using drop down
- static fire statement form (which can be printed and filled in by hand)

Regulatory Reform (fire safety) Order 200

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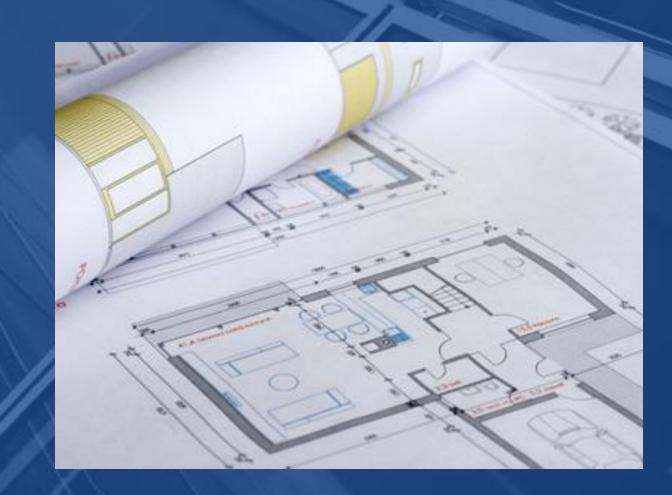




Identification of base design criteria Key Information

- Occupancy
- Fire hazards
- Location/Site
- Size (Height)
- Guidance/deviations

Not full Fire Strategy









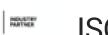








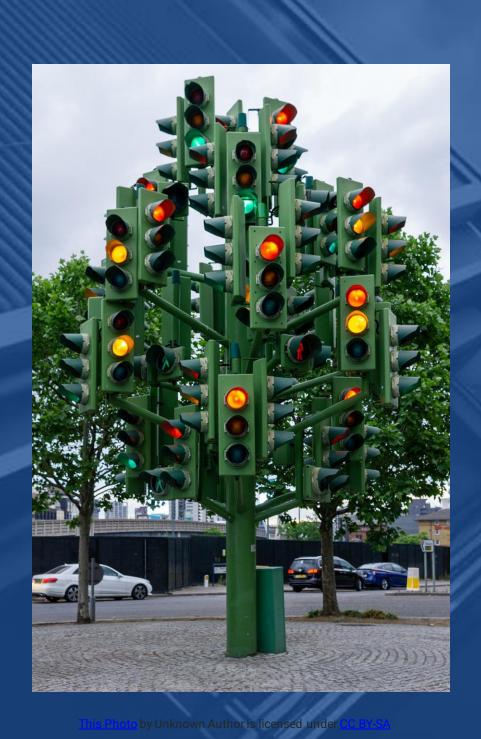












Building Safety Act - Gateway 2

Strengthened oversight at technical design and preconstruction stage:

- Enhances current building control 'deposit of plans' with the BSR controlling in scope buildings
- Implements a 'hard stop' where construction cannot begin until the BSR has approved the application
- Complete plans plus a Fire and Emergency File and Construction Control Plan. Decisions to be well considered and justified with realistic fire safety management expectations
- Requirements set for construction including effective change information and competence management.

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Building Safety Act - Gateway 3

Strengthened oversight at completion, commissioning and handover stage:

- Final/completion certificate stage
- Implements 'hard stop' where the BSR must approve the scheme, undertaking final inspections issue a certificate
- Required as built detailed documentation on building required to issue a certificate
- Documents and information must be handed over to Accountable Person (the golden thread)

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Simple/Complex buildings?

- Escape routes
- Fire-separating elements
- Fire/life safety systems
- Fire doorsets
- Type of evacuation?
- Assumptions RE management?

Fire Strategy plans/document!

Fire Risk Assessments!

R38

ONLINE VERSION

Regulation 38: Fire safety information

This section deals with the following regulation of the Building Regulations 2010.

(1) This regulation applies where building worka) consists of or includes the erection o extension of a relevant building; or

and Part B of Schedule 1 imposes a require

2) The person carrying out the work shall give fi safety information to the responsible person n later than the date of completion of the work,

elating to the design and construction of the uilding or extension, and the services, fitting

(c) a "relevant change of use" is a material chan of use where, after the change of use takes Order 2005 will apply, or continue to apply, t

(d) "responsible person" has the meaning given by article 3 of the Regulatory Reform (Fire Safety)

The aim of this regulation is to ensure that the person responsible for the building has sufficient information relating to fire safety to enable them to manage the building effectively. The aim of regulation 38 will be achieved when the person responsible for the building has all the information to enable them to do all of the following

- a. Understand and implement the fire safety strategy of the building
- b. Maintain any fire safety system provided in the building
- c. Carry out an effective fire risk assessment of the building

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Building Safety Act

Building Control regime & More.























- The BSR is the 'Regulator' for higher-risk buildings
- Regulation of Building Control Approvers Local Authority & Approved Inspectors
- Register of BCB's
- **Building Registration**























"PART 2A

REGULATION OF BUILDING CONTROL PROFESSION



In this Act "registered building inspector" means an individual registered as a building inspector in accordance with this Part.

Register of building inspectors

- The regulatory authority must establish and maintain a register of building inspectors.
- The register may provide for different classes of building inspectors (for example, according to qualifications or experience).
 - **Authorised officers**
 - Regulatory Enforcement regime

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Individuals

- registered building inspector (RBI)
- private / public sector building control professionals
- unified and regulated building control profession
- Code of Conduct (CoC)
- Building inspector competence framework (BICoF)

Private sector

- registered building control approver (RBCA)
- private sector building control body
- Professional Conduct Rules (PCRs)
- Operational Standards Rules (OSRs)

Public sector

- local authority (LA)
- public sector building control body
- Operational Standards Rules

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DRAFT

Building Inspector Competence Framework (BICoF)

October 2022





- Awareness
- **Appreciation**
- Understanding
- Comprehensive

Four BSR registration classes for individual building inspectors:

- Class 1 Building Inspector (Associate/Assistant)
- Class 2 Building Inspector (Standard)
- Class 3 Building Inspector (Complex/HRBs)
- Class 4 Building Inspector (Manager)

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- Increase of time-limits for formal enforcements of noncompliance with Building Regulations (see sections 35 and 36 of the Building Act 1984). It extends prosecutions for contravention of the Regulations from 2 years to 10 years.
- Requirement to correct non-compliant work from 1 year to 10 years.
- Stronger sanctions

























'Safety Cases' for existing buildings over 18m

- Accountable persons to start registering buildings and submitting certain building information from April 2023
- Building Assessment Certificate process expected April 2024.
- New dutyholder competence and golden thread requirements expected to begin by October 2023
- Mandatory Occurrence reporting system
- Duties on residents to ensure safety
- Leaseholder protection
- The Golden Thread of information.
- Building Regulation 38 changes?



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Building information



Identify risks



Risk prevention & protective measures



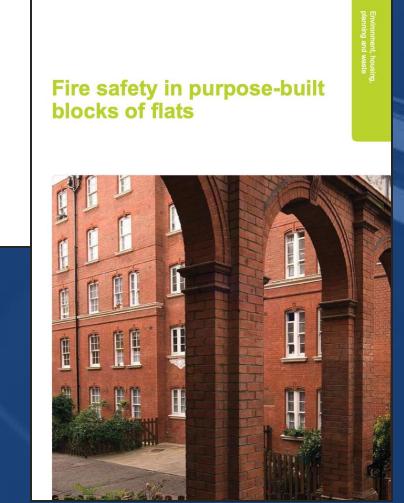
Safety management systems

Guidance on 'Safety Case Reports'

The safety case report should include:

- The major hazards associated with the building
- What measures are in place to manage, control and mitigate the risks
- Safety management systems and the physical provisions and precautions in the building
- How measures are maintained
- What checks are being done to make sure the measures will work when they are needed
- How the safety case is kept up to date (periodic reviews, before/after major changes, refurbishments, etc)





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Building Safety Act Other provisions





















BSA interaction with Fire Safety Act 2021

The Fire Safety Act clarifies the parts of a premises that apply under the Fire Safety Order (FSO). The FSO applies to all nondomestic premises and multi-occupied residential buildings such as blocks of flats

The new legislation clarifies that where a building contains 2 or more sets of domestic premises, the FSO applies to:

- the building's structure and external walls (including windows, balconies, cladding, insulation and fixings) and any common parts
- all doors between domestic premises and common parts such as flat entrance doors (or any other relevant door)

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New complaints procedures

- New Homes Ombudsman Approve a code of practice about the standards of conduct and quality of work
- Housing Ombudsman Prevents the apparent "democratic filter" and allows social housing complainants to escalate a complaint directly to the Housing Ombudsman Housing Ombudsman Service

https://www.housing-ombudsman.org.uk/





























- Declarations of performance
- Secretary of State may regulation make provision for products that are 'safety critical'
- Definition 'any product that would cause death or serious injury to any person'
- Current discussions (CPA, ASFP, etc)















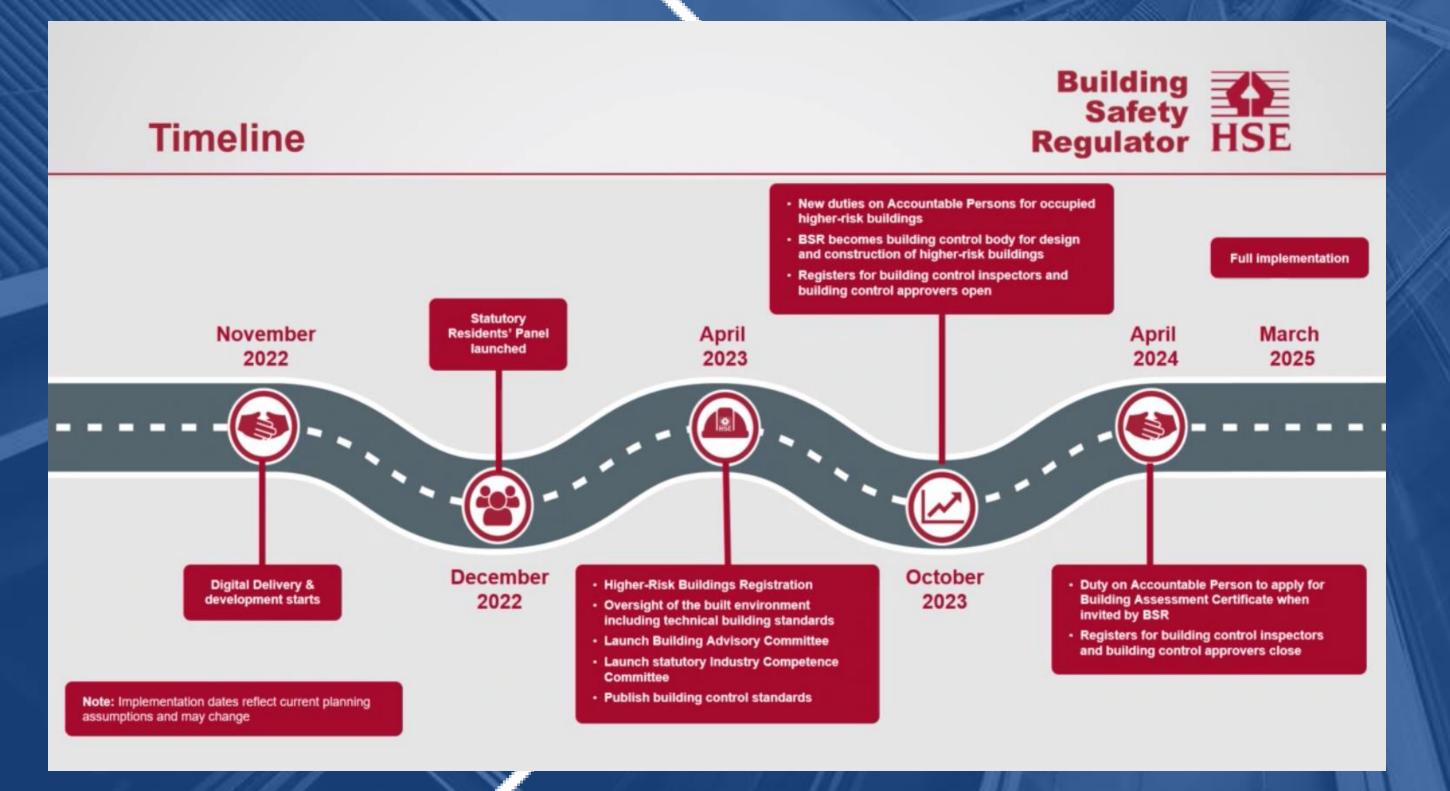








BSA timeline and transitional arrangements??



Complete/Underway

- Delivery and development
- Secondary Legislation
- Statutory Instruments
- Act Amendments
- New industry frameworks

Next year

- Industry Competence/registration
- **Building Registrations**
- **Advisory Committees**

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Thank you for listening

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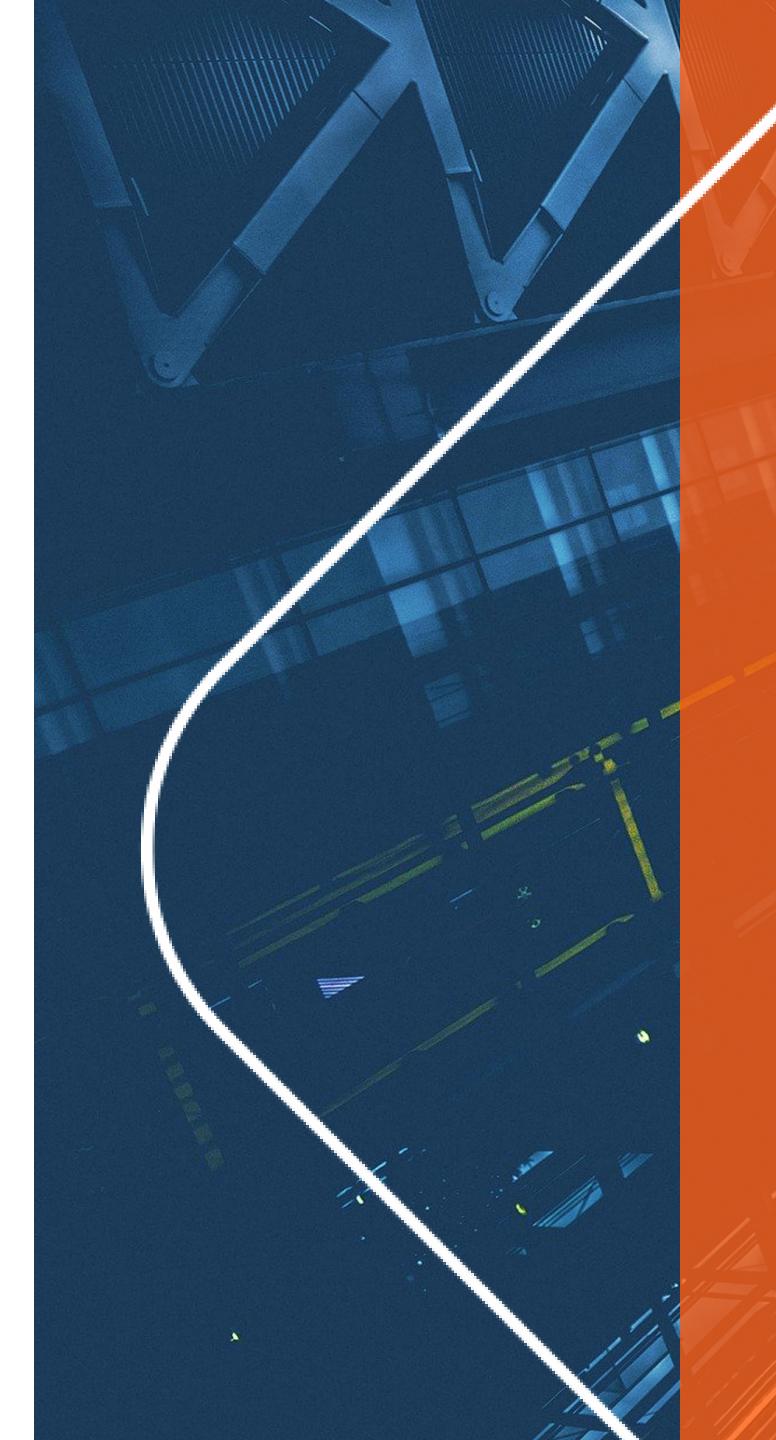














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